1632\$

2444-101 BGE: jmp PARENT & TRIBE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Esther H. Chang, et al.

Serial No. 09/601,444

Filed: January 4, 2001

For: TARGETED LIPOSOME

GENE DELIVERY

AMS 1 4 2003

Examiner: Dave Trong Nguyen

Group Art Unit: 1632

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98,

Applicant submits herewith copies of publications that the Office may wish to consider in examination of the subject application.

The publications are listed on the attached form PTO-1449.

I hereby certify that each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Accordingly, Applicant petitions the Commissioner to consider this information disclosure statement.

Pursuant to the provisions of 37 C.F.R. § 1.17, enclosed is a check for \$180.00. The Commissioner is authorized to charge

08/13/2003 SZENDIED 00000031 09601444

01 FC:1806

180.00 OP

any additional fees or credit any overpayment to Deposit Account No. 02-2135. An additional copy of this document is enclosed.

Respectfully submitted,

By Dansam G Ernst

Barbara G. Ernst
Attorney for Applicants
Registration No. 30,377
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 800, 1425 K Street, N.W.
Washington, D.C. 20005
Telephone: (202)783-6040

~ 10m 2.	 						C	Complete if Known			4
12200						ation Nu	ımber			1	
- INHERNALI	ΊΑΤΙΟΙ	N DISCL	.osu	RE	Filing			January 4, 2001		BECEIVE	
STATE	MENT	BY APP	LICA	NT		lamed I	nventor	Esther H. Chang			
					 	Art Uni		1632 AUG 1 4 2003			13
						iner Nar		Dave Trong Nguyen		TECH CE MER 18	330/2
	· ·		of T	1			ket Number	2444-101			4
Sheet	1		·				OCUMENTS				4
		11 9	. Pater	nt Docum					1	Date of Publication	
	Cita				ind Code	2	Name of Pat of Cite	entee or Applicant d Document		of Cited Document MM-DD-YYYY 06-03-1997	
xaminer Initials*	Cite No. ¹	Nı	umber 		(if known)						
	AB	5,635,380				Naf	Naftilan et al.			00 00 1001	\dashv
											-
											\dashv
						30				-	
										 	
	1	1								3	
					FOREIG	N PATE	ENT DOCUME	ENTS .			
		F	oreign	Patent D						Date of Publication of	
Examiner Initials*	Cite No.1	Office ³ Code	oreign		ocument per ⁴ Ki		Name of Pa Cite	tentee of Applicant of the decimal o	of	Cited Document MM-DD-YYYY	T ⁶
		Office ³		Patent D	ocument per ⁴ Ki	nd⁵	Name of Pa Cite The United	tentee of Applicant of the ded Document States of America of the Secretary to feel the Secretary	of a,	Cited Document	T ⁶
	No. ¹	Office ³ Code		Patent D Numb	ocument per ⁴ Ki	nd ⁵ known)	Name of Pa Cite The United represented Departmen	tentee of Applicant of the ded Document States of America of the Secretary to feel the Secretary	of a,	Cited Document MM-DD-YYYY	T ⁶
	No. ¹	Office ³ Code		Patent D Numb	ocument per ⁴ Ki	nd ⁵ known)	Name of Pa Cite The United represented Departmen	tentee of Applicant of the ded Document States of America of the Secretary to feel the Secretary	of a,	Cited Document MM-DD-YYYY	T ⁶
	No. ¹	Office ³ Code		Patent D Numb	ocument per ⁴ Ki	nd ⁵ known)	Name of Pa Cite The United represented Departmen	tentee of Applicant of the ded Document States of America of the Secretary to feel the Secretary	of a,	Cited Document MM-DD-YYYY	T ⁶

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code. ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind ⁴For Japanese patent documents, the indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached. AB indicates that only an English language abstract is attached.